

12/19/01
J1059 U.S. PTO

12-27-01

Express Mail No. ET170405212US

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PTO/SB/13/PCT (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

| DOCKET NUMBER | ANTICIPATED CLASSIFICATION OF THIS APPLICATION | | PRIOR APPLICATION EXAMINER | ART UNIT |
|---------------|--|----------|----------------------------|----------|
| 18872.0114 | CLASS | SUBCLASS | | |

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

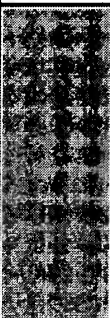


5/18/02
EP
5-1-02

jc979 U.S. PTO
10/026215
12/19/01

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT/GB00/04387, filed on 11/17/00 entitled Nut and Seat Assembly for Clamp

which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--|---|------------------|------------------|-------------------------------|------------------|
|  | TOTAL CLAIMS (37 CFR 1.16(c)) | 26 - 20 = | 6 | x \$ <u>18</u> = | \$ 108 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 7 - 3 = | 4 | x \$ <u>84</u> = | 336 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | + \$ _____ = | |
| |  | | | BASIC FEE (37 CFR 1.16(a)) | + <u>740</u> |
| |  | | | Total of above Calculations = | 1184 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.27). | | | | -- |
| Total = | | | | 1184 | |

1. Enclosed are the specification, claims and drawing(s). 10, 4 and 7 pages, respectively

2. ☐ Applicant claims small entity status. See 37 CFR 1.27.

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 08-2442. A duplicate copy of this sheet is enclosed.

4. ☒ A check in the amount of \$ 1184 is enclosed.

5. ☐ Payment by credit card. Form PTO-2038 is attached.

6. ☐ Application Data Sheet is enclosed. See 37 CFR 1.76.

7. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of international application number PCT/GB00/04387, filed 11/17/00 (status, abandoned, pending, etc.)."

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

8. ☐ A declaration under 37 CFR 1.63 is enclosed. 9927226.2
9. ☒ Priority of foreign application number 9927226.2, filed on 11/17/99 in Great Britain is claimed under 35 U.S.C. 119(a)-(d).

☐ The certified copy is enclosed.

10. ☒ A preliminary amendment is enclosed.

11. ☒ Also enclosed: Information Disclosure Statement by Applicant; copies of cited references; fee transmittal
Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record).

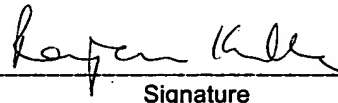
Ranjana Kadle - Hodgson Russ LLP

One M&T Plaza, Suite 2000

Buffalo, NY 14203

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

December 19, 2001
Date


Signature

Ranjana Kadle Reg. No. 40,041
Typed or printed name

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) _____.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

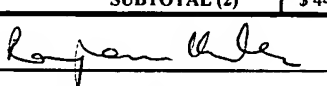
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FEE TRANSMITTAL

for FY 2002

Patent Fees are subject to annual revision.

| | | | | | | | |
|--|--|-----------|--|------------------------|--|-------------------|--|
| FEE TRANSMITTAL for FY 2002 <i>Patent Fees are subject to annual revision.</i> | | | | Application Number | | | |
| | | | | Filing Date | | December 19, 2001 | |
| | | | | First Named Inventor | | Elliott | |
| | | | | Examiner Name | | | |
| | | | | Group/Art Unit | | | |
| TOTAL AMOUNT OF PAYMENT | | (\$ 1184) | | Attorney Docket Number | | 18872.0114 | |

| | | | | | | | | | |
|--|--|--|--|-----------------------------------|-----------------|----------------|-----------------|--|----------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | | | 3. ADDITIONAL FEES | | | | | |
| Deposit Account Number: 08-2442 Deposit Account Name: Hodgson Russ LLP | | | | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | 139 | 130 | 139 | 130 | Non-English specification | \$ |
| FEE CALCULATION | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | \$ |
| 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Code (\$ Code (\$ Fee Description Fee Paid | | | | 112 | 920* | 112 | 920* | Requesting Publication of SIR prior to Examiner Action | \$ |
| | | | | 113 | 1,840* | 113 | 1,840* | Requesting Publication of SIR after Examiner Action | \$ |
| 101 740 201 370 Utility filing fee \$ 740 | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | \$ |
| 106 330 206 165 Design filing fee \$ | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | \$ |
| 107 310 207 255 Plant filing fee \$ | | | | 117 | 920 | 217 | 460 | Extension for reply within third month | \$ |
| 108 740 208 370 Reissue filing fee \$ | | | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | \$ |
| 114 180 214 80 Provisional filing fee \$ | | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | \$ |
| SUBTOTAL (1) \$ 740 | | | | 119 | 320 | 219 | 160 | Notice of Appeal | \$ |
| 2. EXTRA CLAIM FEES Extra Fee from Claims Claims below Fee Paid | | | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | \$ |
| Total Claims /26/ -20** = /6/ x /18/ = \$ 108 | | | | 121 | 280 | 221 | 140 | Request for oral hearing | \$ |
| Independent Claims /7/ - 3** = /4/ x /84/ = \$ 336 | | | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$ |
| Multiple dependent / / x / / = \$ | | | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | \$ |
| Large Entity Small Entity Fee Fee Fee Fee Code (\$ Code (\$ Fee Description | | | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | \$ |
| | | | | 142 | 1,280 | 242 | 640 | 10 advance copies Utility issue fee (or reissue) | \$ |
| 103 18 203 9 Claims in excess of 20 | | | | 143 | 460 | 243 | 230 | Design issue fee | \$ |
| 102 84 202 42 Independent claims in excess of 3 | | | | 144 | 620 | 244 | 310 | Plant issue fee | \$ |
| 104 280 204 140 Multiple dependent claim if not paid | | | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ |
| 109 84 209 42 **Reissue independent claims over original patent | | | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | | | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | \$ |
| SUBTOTAL (2) \$ 444 | | | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| SIGNATURE:  | | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection(37 CFR 1.129(a)) | \$ |
| Ranjana Kadle Reg. No. 40,041 | | | | 149 | 740 | 249 | 370 | For each add'l invention to be examined(37 CFR 1.129(b)) | \$ |
| DATE: December 19, 2001 Telephone: (716) 848-1628 | | | | 179 | 740 | 279 | 370 | Request For Continued Examination (RCE) | \$ |
| | | | | 169 | 900 | 169 | 900 | Request for Expedited Examination of a design appln. | \$ |
| | | | | *Reduced by basic filing fee paid | | | | SUBTOTAL (3) \$ 0 | |

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Date of Filing: December 19, 2001